#  Reporting Instrument

OMB Control Number: 1820-0606

Expiration Date: June 30, 2017

**UniTed States Department of Education**

###### Office of Special Education and Rehabilitative Services

**Rehabilitation Services Administration**

**Section 704**

**annual performance report**

**For**

### STATE INDEPENDENT LIVING SERVICES PROGRAM

**(Title VII, Chapter 1, Part B of the Rehabilitation Act of 1973, as amended)**

**Part I**

 **INSTRUMENT**

**(To be completed by Designated State Units**

And Statewide Independent Living Councils)

Reporting Fiscal Year: 2015

State: Colorado

#

# According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. Public reporting burden for this collection of information is estimated to average 35 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (P.L. 105-220 Section 410 Workforce Investment Act). Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Education, 400 Maryland Ave., SW, Washington, DC 20202-4536, Attention Timothy Beatty, Rehabilitation Services Administration, PCP Room 5057 or email ICDocketMgr@ed.gov and reference the OMB Control Number 1820-0606. Note: Please do not return the completed 704 Report to this address. SubPart I – Administrative Data

## Section A – Sources and Amounts of Funds and Resources

Sections 704(c) and 704(m)(3) and (4) of the Act; 34 CFR 364.35 and 364.36

Indicate amount received by the DSU as per each funding source. Enter “0” for none.

### Item 1 - All Federal Funds Received

|  |  |
| --- | --- |
| (A) Title VII, Ch. 1, Part B | $ 576,611 |
| (B) Title VII, Ch. 1, Part C | $ 837,677 |
| (C) Title VII, Ch. 2  | $ 425,157 |
| (D) Other Federal Funds | $ 63,417 |

### Item 2 - Other Government Funds

|  |  |
| --- | --- |
| (E) State Government Funds | $ 2,559,572 |
| (F) Local Government Funds | $ 410,956 |

### Item 3 - Private Resources

|  |  |
| --- | --- |
| (G) Foundations, Corporations, or Trust Grants | $ 254,494 |
| (H) Donations from Individuals | $ 37,704 |
| (I) Membership Fees | $ 0 |
| (J) Investment Income/Endowment | $ 11,280 |
| (K) Fees for Service (program income, etc.) | $ 2,380,803 |
| (L) Other resources  | $ 209,323 |

### Item 4 - Total Income

|  |  |
| --- | --- |
| Total income = (A)+(B)+(C)+(D)+(E)+(F)+(G)+(H)+(I)+(J)+(K)+(L)  | $ 7,766,994 |

### Item 5 – Pass-Through Funds

|  |  |
| --- | --- |
| Amount of other government funds received as pass through funds to consumers (include funds, received on behalf of consumers, that are subsequently passed on to consumers, e.g., personal assistance services, representative payee funds, Medicaid funds, etc.) | $ 122,108 |

### Item 6 - Net Operating Resources

|  |  |
| --- | --- |
| [Total Income (Section 4) <minus> amount paid out to Consumers (Section 5) = Net Operating Resources  | $ 7,644,886 |

## Section B – Distribution of Title VII, Chapter 1, Part B Funds

Section 713 of the Act; 34 CFR 364.22, 365.1, 365.20, and 365.21

| **What Activities were Conducted with Part B Funds?** | **Expenditures of Part B Funds for Services by DSU Staff** | **Expenditures for Services Rendered By Grant or Contract** |
| --- | --- | --- |
| 1. Provided resources to the SILC to carry out its functions
 | $ 0 | $ 0 |
| 1. Provided IL services to individuals with significant disabilities
 | $ 0 | $ 0 |
| 1. Demonstrated ways to expand and improve IL services
 | $ 0 | $ 0 |
| 1. Supported the general operation of CILs that are in compliance with the standards and assurances set forth in subsections (b) and (c) of section 725 of the Act
 | $ 0 | $ 576,611 |
| 1. Supported activities to increase capacity to develop approaches or systems for providing IL services
 | $ 0 | $ 0 |
| 1. Conducted studies and analyses, gathered information, developed model policies, and presented findings in order to enhance IL services
 | $ 0 | $ 0 |
| 1. Provided training regarding the IL philosophy
 | $ 0 | $ 0 |
| 1. Provided outreach to unserved or underserved populations, including minority groups and urban and rural populations
 | $ 0 | $ 0 |

## Section C – Grants or Contracts Used to Distribute Title VII, Chapter 1, Part B Funds

Sections 704(f) and 713 of the Act; 34 CFR 364.43, and 34 CFR 365 Subpart C

Enter the requested information for all DSU grants or contracts, funded at least in part by Part B funds, in the chart below. If a column is not applicable to a particular grant or contract, enter “N/A.” If there were no non-Part B funds provided to this grantee or contractor for the purpose listed, enter “$0” in that column. Add more rows as necessary.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Name of Grantee or Contractor** | **Use of Funds**(based on the activities listed in Subpart I, Section B) | **Amount of Part B Funds**  | **Amount of Non-Part B Funds**  | **Consumer Eligibility Determined By DSU or Provider** | **CSRs Kept With DSU or Provider** |
| Atlantis Community Inc | Provision of IL Services | $11,965.91 | $295,785.36 | Provider | Provider |
| Center for Disabilities | Provision of IL Services | $56,566.08 | $276,367.68 | Provider | Provider |
| Center for Independence | Provision of IL Services | $17,404.95 | $287,666.56 | Provider | Provider |
| Center for People With Disabilities | Provision of IL Services | $17,404.95 | $284,157.24 | Provider | Provider |
| Colorado Springs Independence Center dba The Independence Center | Provision of IL Services | $29,008.25 | $308,744.96 | Provider | Provider |
| Connections for Independent Living | Provision of IL Services | $37,710.72 | $316,019.72 | Provider | Provider |
| Disabled Resource Services | Provision of IL Services | $43,512.37 | $293,473.44 | Provider | Provider |
| Mile High Independent Living Center | Provision of IL Services | $4,351.24 | $284,236.00 | Provider | Provider |
| North West Colorado Center for Independence | Provision of IL Services | $43,512.37 | $266,545.66 | Provider | Provider |
| SouthWest Center for Independence | Provision of IL Services | $43,512.37 | $285,449.00 | Provider | Provider |
| **Total Amount of Grants and Contracts** |  | $ 304,949.21 | $ 2,898,445.62 |  |  |

## Section D - Grants or Contracts for Purposes Other than Providing IL Services or For the General Operation of Centers

Section 713 of the Act; 34 CFR 365.1 and 34 CFR 365.20

Describe the objectives, activities and results for each Part B grant or contract awarded for purposes other than IL services or the general operation of centers.

None

### Section E – Monitoring Title VII, Chapter 1, Part B Funds

34 CFR 80.40(a)

Provide a summary of the program or fiscal review, evaluation and monitoring conducted by the state of any of the grantees/contractors receiving Part B funds during the reporting year.

Center For Disabilities (CFD) in Pueblo, CO was reviewed October 2014. CFD completed a corrective action and has been certified as a Center through October 1, 2017. Center For Independence (CFI) was reviewed in February 2015 and was certified as a center through March 1, 2018. Connections for Independent Living was reviewed March 2015 and was certified a Center through April 1, 2018. Atlantis Community Inc was reviewed April 2015 and was certified a Center through June 1, 2018. Colorado Springs Independence Center dba The Independence Center was reviewed May 2015 and was certified a Center through July 1, 2018. The Center for People With Disabilities (CPWD) was reviewed June 2015 and was certified a Center through July 1, 2018.

## Section F – Administrative Support Services and Staffing

Section 704(c)(2) and 704 (m)(2) and (4) of the Act; CFR 364.22(a)(2) and 34 CFR 364.31

**Item 1 – Administrative Support Services**

Describe any administrative support services, including staffing, provided by the DSU to the Part B Program.

The DSU provides a .50 FTE Independent Living Program Coordinator who monitors compliance, provides TA, and reviews monthly reports and invoices from the Centers and the SILC. This staff also serves as a liaison with the SILC in conjunction with the .50 contract SILC Coordinator. The .50 FTE Administrative Assistant support position remains unfilled.

**Item 2 – Staffing**

Enter requested staff information for the DSU and service providers listed in Section C, above (excluding Part C funded CILs):

|  |  |  |
| --- | --- | --- |
| **Type of Staff** | **Total Number of FTEs** | **FTEs filled by Individuals with Disabilities** |
| Decision-Making Staff | 44 | 5 |
| Other Staff | 82 | 60 |

## Section G – For Section 723 States ONLY

Section 723 of the Act, 34 CFR Part 366, Subpart D

### Item 1 – Distribution of Part C Funds to Centers

In the chart below, please provide the following information:

1. name of each center within your state that received Part C funding during the reporting year;
2. amount of Part C funding each center received;
3. whether the Part C funding included a cost-of-living increase;
4. whether the Part C funding included any excess funds remaining after cost-of-living increases were provided;
5. whether any of the centers received its Part C funding pursuant to a competition for a new center in the state; and
6. whether the center was the subject of an onsite compliance review conducted by the DSU during the reporting year.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Name of CIL**  | **Amount of Part C Funding Received**  | **Cost of Living Increase? (Yes/No)** | **Excess Funds After Cost of Living Increase? (Yes/No)** | **New Center? (Yes/No)** | **Onsite Compliance Review of Center?****(Yes/No)** |
| N/A for Colorado |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

Add additional rows as necessary.

**Item 2 – Administrative Support Services**

Section 704(c)(2) of the Act; 34 CFR 364.22(a)(2)

Describe the administrative support services used by the DSU to administer the Part C program.

### Item 3 – Monitoring and Onsite Compliance Reviews

Section 723(g), (h), and (i); 34 CFR 366.38, 366.40 – 46

Provide a summary of the monitoring activities involving Part C centers conducted by the state during the current reporting year, including the onsite reviews of at least 15% of centers receiving Part C funds under section 723. The summary should include, at least, the following:

1. centers’ level of compliance with the standards and assurances in Section 725 of the Act;
2. any adverse actions taken against centers;
3. any corrective action plans entered into with centers; and
4. exemplary, replicable or model practices for centers.

### Item 4 – Updates or Issues

Provide any updates to the administration of the Part C program by the DSU, if any, including any significant changes in the amount of earmarked funds or any changes in the order of priorities in the distribution of Part C funds. Provide a description of any issues of concern addressed by the DSU in its administration of the Part C program.

# SubPart II – Number and Types of Individuals with significant disabilities receiving services

Section 704(m)(4) of the Act; 34 CFR 364.53

In this section, provide data from all service providers (DSU, grantees, contractors) who received Part B funds and who were listed in Subpart I, Section C of this report, except for the centers that receive Part C funds. Part C centers will provide this data themselves on their annual 704 Reports, Part II.

## Section A – Number of Consumers Served During the Reporting Year

Include Consumer Service Records (CSRs) for all consumers served during the year.

|  |  |
| --- | --- |
|  | **# of CSRs** |
| 1. Enter the number of active CSRs carried over from September 30 of the preceding reporting year
 | 2,826 |
| 1. Enter the number of CSRs started since October 1 of the reporting year
 | 1,621 |
| 1. Add lines (1) and (2) to get the ***total number of consumers served***
 | 4,447 |

## Section B –Number of CSRs Closed by September 30 of the Reporting Year

Include the number of consumer records closed out of the active CSR files during the reporting year because the individual has:

|  |  |
| --- | --- |
|  | **# of CSRs** |
| 1. Moved
 | 101 |
| 1. Withdrawn
 | 368 |
| 1. Died
 | 42 |
| 1. Completed all goals set
 | 610 |
| 1. Other
 | 343 |
| 1. Add lines (1) + (2) + (3) + (4) +(5) to get ***total CSRs closed***
 | 1,464 |

## Section C –Number of CSRs Active on September 30 of the Reporting Year

Indicate the number of CSRs active on September 30th of the reporting year.

|  |  |
| --- | --- |
|  | **# of CSRs** |
| Section A(3) <minus> Section (B)(6) = Section C  | 2,983 |

## Section D – IL Plans and Waivers

Indicate the number of consumers in each category below.

|  |  |
| --- | --- |
|  | **# of Consumers** |
| 1. Number of consumers who signed a waiver
 | 850 |
| 1. Number of consumers with whom an ILP was developed
 | 3,596 |
| 1. ***Total number of consumers*** served during the reporting year
 | 4,446 |

## Section E – Age

Indicate the number of consumers in each category below.

|  |  |
| --- | --- |
|  | **# of Consumers** |
| 1. Under 5 years old
 | 11 |
| 1. Ages 5 – 19
 | 116 |
| 1. Ages 20 – 24
 | 196 |
| 1. Ages 25 – 59
 | 2,536 |
| 1. Age 60 and Older
 | 1,127 |
| 1. Age unavailable
 | 461 |

## Section F – Sex

Indicate the number of consumers in each category below.

|  |  |
| --- | --- |
|  | **# of Consumers** |
| 1. Number of Females served
 | 2,498 |
| 1. Number of Males served
 | 1,948 |

## Section G – Race And Ethnicity

Indicate the number of consumers served in each category below. ***Each consumer may be counted under ONLY ONE of the following categories in the 704 Report, even if the consumer reported more than one race and/or Hispanic/Latino ethnicity).***

**This section reflects a new OMB directive.**

**Please refer to the Instructions before completing.**

|  |  |
| --- | --- |
|  | **# of Consumers** |
| (1) American Indian or Alaska Native | 72 |
| (2) Asian  | 37 |
| (3) Black or African American | 177 |
| (4) Native Hawaiian or Other Pacific Islander | 8 |
| (5) White | 2,900 |
| (6) Hispanic/Latino of any race or Hispanic/ Latino only  | 695 |
| (7) Two or more races | 90 |
| (8) Race and ethnicity unknown  | 483 |

## Section H – Disability

Indicate the number of consumers in each category below.

|  |  |
| --- | --- |
|  | **# of Consumers** |
| 1. Cognitive
 | 735 |
| 1. Mental/Emotional
 | 625 |
| 1. Physical
 | 1,402 |
| 1. Hearing
 | 185 |
| 1. Vision
 | 694 |
| 1. Multiple Disabilities
 | 547 |
| 1. Other
 | 248 |

# SubPart III – Individual Services and Achievements funded through Title VII, Chapter 1, part B funds

Sections 13 and 704(m)(4); 34 CFR 364.53; Government Performance Results Act (GPRA) Performance Measures

**Subpart III contains new data requests. Please refer to the Instructions before completing.**

## Section A – Individual Services and Achievements

For the reporting year, indicate in the chart below how many consumers requested and received each of the following IL services. Include all consumers who were provided services during the reporting year through Part B funds, either directly by DSU staff or via grants or contracts with other providers. Do not include consumers who were served by any centers that received Part C funds during the reporting year.

| Services | **Consumers Requesting****Services** | **Consumers Receiving Services** |
| --- | --- | --- |
| (A) Advocacy/Legal Services | 3,140 | 2,946 |
| (B) Assistive Technology  | 1,456 | 1,425 |
| (C) Children’s Services | 29 | 29 |
| (D) Communication Services | 252 | 249 |
| (E) Counseling and Related Services | 181 | 170 |
| (F) Family Services | 271 | 271 |
| (G) Housing, Home Modifications, and Shelter Services | 5,026 | 4,989 |
| (H) IL Skills Training and Life Skills Training  | 2,521 | 2,407 |
| (I) Information and Referral Services | 14,251 | 13,539 |
| (J) Mental Restoration Services | 26 | 26 |
| (K) Mobility Training  | 89 | 84 |
| (L) Peer Counseling Services | 1,935 | 1,885 |
| (M) Personal Assistance Services | 211 | 193 |
| (N) Physical Restoration Services | 14 | 13 |
| (O) Preventive Services | 126 | 126 |
| (P) Prostheses, Orthotics, and Other Appliances | 14 | 14 |
| (Q) Recreational Services | 563 | 507 |
| (R) Rehabilitation Technology Services | 23 | 23 |
| (S) Therapeutic Treatment | 11 | 11 |
| (T) Transportation Services | 759 | 730 |
| (U) Youth/Transition Services | 320 | 320 |
| (V) Vocational Services | 976 | 914 |
| (W) Other Services | 956 | 905 |

## Section B – Increased Independence and Community Integration

**Item 1** **– Goals Related to Increased Independence in a Significant Life Area**

Indicate the number of consumers who set goals related to the following significant life areas, the number whose goals are still in progress, and the number who achieved their goals as a result of the provision of IL services.

| **Significant Life Area** | **Goals Set** | **Goals Achieved** | **In Progress** |
| --- | --- | --- | --- |
| 1. Self-Advocacy/Self-Empowerment
 | 1,240 | 270 | 817 |
| 1. Communication
 | 152 | 33 | 110 |
| 1. Mobility/Transportation
 | 271 | 74 | 175 |
| 1. Community-Based Living
 | 1,207 | 465 | 676 |
| 1. Educational
 | 239 | 57 | 161 |
| 1. Vocational
 | 501 | 102 | 346 |
| 1. Self-care
 | 576 | 274 | 272 |
| 1. Information Access/Technology
 | 285 | 92 | 175 |
| 1. Personal Resource Management
 | 679 | 270 | 371 |
| 1. Relocation from a Nursing Home or Institution to Community-Based Living
 | 107 | 34 | 70 |
| 1. Community/Social Participation
 | 256 | 37 | 201 |
| 1. Other
 | 1,113 | 511 | 577 |

**Item 2 –** **Improved Access To Transportation, Health Care and Assistive Technology**

1. **Table**

In column one, indicate the number of consumers who required access to previously unavailable transportation, health care services, or assistive technology during the reporting year. Of the consumers listed in column one, indicate in column two, the number of consumers who, as a result of the provision of IL services (including the four core services), achieved access to previously unavailable transportation, health care services, or assistive technology during the reporting year. In column three, list the number of consumers whose access to transportation, health care services or assistive technology is still in progress at the end of the reporting year.

| **Areas** | **# of Consumers Requiring Access** | **# of Consumers Achieving Access** | **# of Consumers Whose Access is in Progress** |
| --- | --- | --- | --- |
| (A) Transportation | 703 | 497 | 196 |
| (B) Health Care Services | 733 | 294 | 433 |
| (C) Assistive Technology | 1,597 | 1,256 | 327 |

Note: For most IL services, a consumer’s access to previously unavailable transportation, health care and assistive technology is documented through his or her CSR. In some instances, consumers may achieve an outcome solely through information and referral (I&R) services. To document these instances as successful outcomes, providers are not required to create CSRs for these consumers but must be able to document that follow-up contacts with these consumers showed access to previously unavailable transportation, health care and assistive technology.

**(B) I&R Information**

To inform RSA how many service providers engage in I&R follow-up contacts regarding access to transportation, health care services or assistive technology, please indicate the following:

The service provider did \_\_X\_ / did not \_\_\_ engage in follow-up contacts with I & R recipients to document access gained to previously unavailable transportation, health care or assistive technology.